



Section 8

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Application of:** Dhal et al.  
**Serial No.:** 08/970,066  
**Filed:** November 13, 1997  
**For:** HOLOGRAPHIC MEDIUM AND PROCESS FOR USE THEREOF

Cambridge, Massachusetts  
April 14, 1998

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION (FORM PTO-1533)**

Assistant Commissioner for Patents  
Washington DC 20231

**ATTENTION BOX MISSING PARTS**

Sir:

In response to Form PTO-1533, issued March 4, 1998 in connection with the above application, applicants are filing herewith a Declaration signed by the inventors, and a check for \$1074 in payment of the filing fee and the surcharge required by 37 CFR 1.16(e). The duplicate copy of Form PTO-1533 is also being returned herewith.

Since two of the inventors are no longer employed by Polaroid, the Declaration has been executed on three copies, one signed by the three inventors still employed by Polaroid, and one by each of the two former employees. Execution of the Declaration on these multiple copies conforms to Office Practice, cf. MPEP 602.

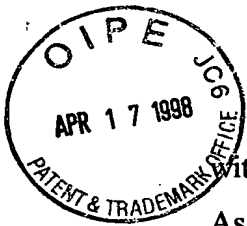
Respectfully submitted

David J. Cole  
Registration No. 29629

Polaroid Corporation  
Patent Department  
549 Technology Square  
Cambridge MA 02139-3589

Telephone (781) 386-6422

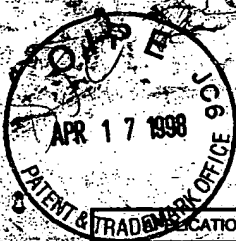
*Dhal et al.*  
*Serial No. 08/970,066*  
*Response to Form PTO-1533*  
*Page 2*



**CERTIFICATE OF MAILING**

I hereby certify that this paper, dated April 14, 1998, is being deposited  
with the United States Postal Service as first class mail in an envelope addressed to  
Assistant Commissioner for Patents, Washington DC 20231, on *April 14, 1998*.

*David J. Cole*  
David J. Cole



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/970,066	11/13/97 DHAL		P C-8232

0242/0304

DAVID J COLE  
POLAROID CORPORATION  
PATENT DEPARTMENT  
575 TECHNOLOGY SQUARE  
CAMBRIDGE MA 02139-3587

NOT ASSIGNED

1113

#2

DATE MAILED:

03/04/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☒ non-small entity is \$ 1074.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing  
☐ insufficient

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small-entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 154.00, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ 154.00 for 7 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules" 37 CFR 1.821-1.825.

☐ 9. OTHER:

Direct the reply and any questions about this notice to Attention: Box Missing Parts.

**A copy of this notice MUST be returned with the reply**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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